

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14123-23

In Re Application Of: **MAZZOCHETTE et al.**

Serial No.
HEREWITH

Filing Date
HEREWITH

Examiner
TBD

Group Art Unit
TBD

Title: **ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE
ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14123-23

In Re Application: **MAZZOCHETTE et al.**

Serial No.
HEREWITH

Filing Date
HEREWITH

Examiner
TBD

Group Art Unit
TBD

**ILLUMINATION DEVICES COMPRISING WHITE LIGHT EMITTING DIODES AND DIODE
ARRAYS AND METHOD AND APPARATUS FOR MAKING THEM**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **501358**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit
account is being facsimile transmitted to the United States
Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by
deposit account.**

Glenn E. Books
Signature

Dated: *4/09/04*

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
14123-23

Application Number
HEREWITH

Applicant(s)
MAZZOCHETTE et al.

Filing Date
HEREWITH

Group Art Unit
TBD

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	US 2003/0077385 A1	04/24/2003	AINSWORTH et al.			
	B	US 6,622,948 B1	09/23/2003	HAAS et al.			
	C	US 6,478,402 B1	11/12/2002	GREIVE			
	D	US 5,908,155	06/01/1999	DUFFY et al.			
	E	US 6,576,930 B2	06/10/2003	REEH et al.			
	F	US 6,608,332 B2	08/19/2003	SHIMIZU et al.			
	G	US 6,614,179 B1	09/02/2003	SHIMIZU et al.			
	H	US 5,959,316	09/28/1999	LOWERY			
	I	US 6,576,488 B2	06/10/2003	COLLINS, III et al.			
	J	US 6,455,930 B1	09/24/2002	PALANISAMY et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.